



Supplier Qualification/Surveilliance

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Topics



- **Supplier Qualification Improvements (recap)**
- **Assessment and Oversight Activities**
- **Questions?**



Supplier Qualification Improvement (Recap)

Gap Assessment completed in 2017 to evaluate the existing Supplier Qualification process (900-514200-MCP-005) specifically looking at how to improve the overall process.

- Process execution/Flow
- Roles and responsibilities
- Training
- Tools/methods
- Integration/Interfaces
- Metrics/Performance Indicators



Independent Assessment and Oversight Activities



Assessment and Oversight

- Assessment is an all-inclusive term that may include review, evaluation, inspection, test, check, surveillance, or audit to determine and document whether items processes, systems or services meet specified requirements and perform effectively.
- The assessment and oversight activities will be completed by CNL personnel or designates to evaluate compliance of technical specifications, drawings, standards, regulatory requirements that have been identified in contract documentation such as:
 - Contracts
 - Purchase Orders
 - Technical Scope of Work
 - MSAs (spell this out)



Example of a Supplementary Clause from a contract

The goods or work set out in the Contract Terms and the inspection and testing thereof by the seller, shall be subject to surveillance and/or inspection by CNL or its authorized representative for which purpose the seller (or subseller) shall:

- allow access at all reasonable times during manufacture at the premises where the goods are being produced or work is being carried out.
- allow access to the seller's facility to inspect and/or audit the supplier records associated with the goods or work
- demonstrate to the satisfaction of the CNL representative that the goods or work meet the requirements of the Contract Terms.
- print on the face of all orders to seller's subcontractors and suppliers the following notation: "This order is subject to surveillance and/or further inspection at its option by Canadian Nuclear Laboratories Ltd. or its duly authorized representative".
- not deliver the goods or work until the authorized CNL representative has been notified and a release for the goods or work has been obtained.
- Waiving of surveillance or acceptance by CNL or its authorized representative shall not relieve the seller from the responsibility of furnishing the goods or services in accordance with the Contract Terms.



Assessment and Oversight (contd)

- This is not a new requirement, however documenting the oversight activities has not always been consistently implemented or documented.
- Findings from these assessments will be documented in our internal ImpAct process including NCRs.
- The ImpAct process is currently under review to include a link to our vendor specific #s so that we can trend conditions adverse to quality such as non-conformances, missed schedule milestones, etc. (if project milestones are missed due to CNL then the ImpAct will be applied to CNL not the vendor.



Performance Measures (Metrics)

Scorecard - Quality

Were deliverables / services rendered completed with a high level of quality, professionalism, and attention to detail in compliance with written requirements, applicable codes or standards?

ImpAct – Corrective/Improvement Action Tool

Used to collect trending data both positive and negative.



Questions?

